

Horizon Europe

Call for a prize — Rules of Contest

EU Award for (Academic) Gender Equality Champions

(HORIZON-WIDERA-2022-GENDER-Prize)

Version 1.1 21 September 2022

HISTORY OF CHANGES					
Version	Publication Date	Change	Page		
1.0	14.07.2022	■ Initial version (new MFF).			
1.1	21.09.2022	 Update of footnote 10 with a new hyperlink. 			
		ш			



EUROPEAN RESEARCH EXECUTIVE AGENCY (REA)

REA.C - Future Society

C.4 - Reforming European R&I and Research Infrastructures

CALL FOR APPLICATIONS — RULES OF CONTEST

TABLE OF CONTENTS

0. I	ntroduction	. 4
1. E	Background	. 5
2. (Objectives — Themes and priorities — Activities— Expected results	. 5
	Objectives	. 5
	Expected outcomes	6
	Expected results	6
3. /	Available budget	6
4. 7	Fimetable and deadlines	. 7
5. /	Admissibility and documents	. 7
6. E	Eligibility	9
	Eligible participants (eligible countries)	9
	Eligible activities	LC
	Ethics and values	LC
	Security	LC
7. E	Exclusion	LC
8. E	Evaluation and award procedure1	L 1
9. <i>A</i>	Award criteria	L 2
10.	Other conditions	L4
	Payment arrangements	L4
	Communication — Dissemination — Visibility of funding	L4
	IPR — Rights of use	
	Checks, audits and investigations	
	Withdrawal of the prize — Recovery of undue amounts	
	How to submit an application	
12.	Help	L 7
13	Important	ع۱

0. Introduction

This is a call (contest)¹ for an EU recognition **prize** in the field of gender equality and inclusiveness under the **Widening participation and strengthening the European Research Area** Work Programme of the Horizon Europe Framework Programme fro Research and Innovation.

The regulatory framework for this EU Funding Programme is set out in:

- Regulation 2018/1046 (EU Financial Regulation)
- the basic act (Horizon Europe Regulation²)

The call is launched in accordance with the 2021-2022 Work Programme³ and will be managed by **European Research Executive Agency (REA)** ('Agency').

We invite you to read the **call documentation** on the Funding & Tenders Portal Topic page carefully, and in particular these Rules of Contest and the <u>EU Funding & Tenders</u> Portal Online Manual.

These documents provide clarifications and answers to questions you may have when preparing your application:

- the <u>Call Document</u> (Rules of Contest) outlines the:
 - background, objectives, scope, activities that can be funded and the expected results (sections 1 and 2)
 - available budget and timetable (sections 3 and 4)
 - admissibility and eligibility conditions (including mandatory documents; sections 5 and 6)
 - criteria for exclusion (section 7)
 - evaluation and award procedure (section 8)
 - award criteria (section 9)
 - other conditions (section 10)
 - how to submit an application (section 11)
- the <u>Online Manual</u> outlines the procedures to register and submit applications online via the EU Funding & Tenders Portal ('Portal').

You are also encouraged to visit the <u>EU Award for Gender Equality Champions website</u> for additional information.

For ease of reference and consistency on the Funding & Tenders Portal, the terms 'call', 'project' and 'participant' are used as equivalent to 'contest', 'application' or 'contestants/applicants'.

Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013.

Commission Implementing Decision C(2022) 2975 of 10 May 2022concerning the amendment of the work programme for 2021-2022 and the financing decision for the implementation of the programme Widening participation and strengthening the European Research Area.

1. Background

The Commission's Communication on a "A new ERA for Research and Innovation" adopted on 30 September 2020⁴ reaffirmed gender equality as one of the priority areas to address for deepening the European Research Area (ERA) and strengthening the European research and innovation (R&I) potential. As this new ERA framework underlines, gender equality is also closely related to the development of an enhanced labour market for researchers, of improved research career assessment procedures, and of a transformative agenda for higher education institutions, which will ensure that talents in all their diversity can strive in gender-equal, inclusive, fair, ethical and safe career environment.

The package adopted by the Council of the EU on 26 November 2021 further reinforces this priority setting: the Council Recommendation on a Pact for Research and Innovation in Europe⁵ sets gender equality and inclusiveness as a principle and value of the ERA, and the ERA Policy Agenda for 2022-2024, annexed to the Council Conclusions on the future governance of the ERA⁶, includes a dedicated action (Action 5) to promote gender equality and foster inclusiveness, taking note of the Ljubljana declaration on gender equality in R&I⁷. This entails supporting all aspects of gender equality through inclusive Gender Equality Plans (GEP) and policies, with the "inclusive" dimension relating more specifically to the opening of gender equality policies to intersections with other social diversity characteristics and potential grounds for discrimination, such as ethnicity, disability, or sexual orientation and gender identity (cf. LGBTIQ⁸).

As several studies and the analysis carried out by Horizon 2020 funded project CASPER on existing national award and certification schemes for gender equality in research performing organisations, including universities, has shown⁹, award and certification schemes implemented in different countries, in the EU and beyond, can be useful tools for advancing gender equality in academic, research and innovation organisations, with some awarding schemes effectively being used as drivers for competition in attracting students and researchers and/or as a prerequisite for having access to funding.

In this context a new annual Prize is established, the **EU Award for (Academic) Gender Equality Champions**.

2. Objectives — Themes and priorities — Activities— Expected results

Objectives

The "EU Award for (Academic) Gender Equality Champions" is a Prize scheme meant as a booster and complement to the requirement for higher education and research organisations applying to Horizon Europe to have in place a Gender Equality

⁴ https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:52020DC0628

⁵ https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=uriserv:OJ.L .2021.431.01.0001.01.ENG

⁶ https://data.consilium.europa.eu/doc/document/ST-14308-2021-INIT/en/pdf

https://www.gov.si/assets/ministrstva/MIZS/Dokumenti/PSEU/Ljubljana-Declaration-on-Gender-Equality-in-Research-and-Innovation- endorsed final.pdf

⁸ LGBTIQ refers to people identifying as lesbian, gay, bisexual, transgender, intersex, queer or other forms of non-binary gender identities and diverse sexual orientations, cf the Commission's LGBTIQ Strategy 2020-2025: https://ec.europa.eu/info/sites/default/files/lgbtiq_strategy_2020-2025_en.pdf

⁹ See: FP7 GENDER-NET project Deliverable D2.7: https://www.gender-net.eu/IMG/pdf/D2-7 - Analysis report on existing gender equality awards and corresponding stimulatory initiatives ECU .pdf (2015); Horizon 2020 CASPER project Deliverable D3.3- State of the Art Analysis: mapping the awarding certification landscape in Higher Education and Research: https://zenodo.org/record/4561664#.Yk_tpE1BxPY

Plan (GEP)¹⁰, and a tool for advancing inclusive gender equality plans and policies in the framework of the new ERA policy agenda, as well as an enabler for the transformation agenda for universities set in the new ERA, in synergy with the European Education Area (EEA) and the European Higher Education Area (EHEA, Bologna process), and in line with the new Communication on a European Strategy for Universities adopted on 18 January 2022.

The Prize will be awarded to four academic or research organisations, and contestants can apply to one among the following three prize categories:

- **Sustainable Gender Equality Champions**: Organisations that can demonstrate a significant and sustained record of activity and a high level of achievement through the implementation of their GEP;
- **Newcomer Gender Equality Champions**: Organisations that have recently started implementing a GEP and can demonstrate the most progress in its implementation and achieved results;
- **Inclusive Gender Equality Champions**: Organisations that have developed the most innovative inclusive GEP addressing intersections with other social categories such as ethnicity, social origin, sexual orientation and gender identity (LGBTI+) or disability.

Expected outcomes

- Enable the creation of a European community of academic and research champions in institutional transformation towards inclusive gender equality.
- Strengthening of the inclusiveness and connectivity objectives under the new European Research Area.

Expected results

The prizes will boost public awareness of the importance of addressing gender equality in academic and research organisations through institutional change, incentivise a high degree of commitment to the implementation of inclusive GEPs, and create a community of champions inspiring other academic and research organisations into become gender equality champions themselves.

3. Available budget

Each prize winner will receive EUR 0.1 million The maximum prize budget is EUR 0.4 million. There will be two winners in the prize category "Sustainable Gender Equality Champions", one winner in the prize category "Newcomer Gender Equality Champions", and one winner in the prize category "Inclusive Gender Equality Champions".

https://research-and-innovation.ec.europa.eu/strategy/strategy-2020-2024/democracy-and-rights/qender-equality-research-and-innovation en#gender-equality-plans-as-an-eligibility-criterion-in-horizon-europe

4. Timetable and deadlines

Timetable and deadlines				
Call opening:	14 July 2022			
Deadline for submission:	13 October 2022 – 17:00 CET (Brussels)			
Evaluation:	Q4 2022			
Information on evaluation results/ award:	Q1 2023			

5. Admissibility and documents

Applications must be submitted before the **call deadline** (see timetable section 4).

Applications must be submitted **electronically** via the Funding & Tenders Portal Electronic Submission System (accessible via the Topic page in the <u>Search Funding & Tenders</u> section). Paper submissions are NOT possible.

Applications (including annexes and supporting documents) must be submitted using the forms provided *inside* the Submission System ($^{\perp}$ NOT the documents available on the Contest page — they are only for information).

Applications must be **complete** and contain all the requested information and all required annexes and supporting documents:

- Application Form Part A contains administrative information about the applicant organisation (to be filled in directly online)
- Application Form Part B contains the technical description of the application (to be downloaded from the Portal Submission System, completed and then saved as PDF in the system). The link to where the Gender Equality Plan has been published, as a publicly available document, must be provided.
- Mandatory annex and supporting document (to be uploaded as a PDF file):
 - Gender Equality Plan of the institution submitting the proposal. The document should preferably be in English.

Your application must be **readable**, **accessible**, **printable**.

Applications are limited to maximum **15 pages** (Part B). Evaluators will not consider any additional pages. An applicant can only apply to one category for this prize.

You should provide in the Application form Part B a valid and easily readable link to a video message on your achievements in the chosen Prize category. The video should be in English or in any EU official language with English subtitles, lasting no more than 3 minutes. This video will be used for communication purposes only.

You may be asked at a later stage for further documents (for legal entity validation, bank account validation, ethics review, declaration of honour, etc).

Additional admissibility criteria

Category "Sustainable Gender Equality Champions"

The applicant's Gender Equality Plan (GEP) must have covered at least all four process-related requirements as well as all five recommended thematic areas, as defined in the framework of the Horizon Europe GEP eligibility criterion¹¹, and must have been implemented for more than a GEP lifecycle¹² to be able to show sustainability over time.

Category "Newcomer Gender Equality Champions"

The applicant's GEP must cover all four process-related requirements as well as at least four of the five recommended thematic areas, as defined in the framework of the Horizon Europe GEP eligibility criterion, and must be a first-time GEP recently implemented, for at least a GEP lifecycle, in order to be able to show measurable progress.

Category "Inclusive Gender Equality Champions"

The applicant's inclusive GEP must cover all four process-related requirements as well as at least three of the recommended thematic areas, as defined in the framework of the Horizon Europe GEP eligibility criterion, and must address intersections between gender and at least two other social categories. Furthermore, the inclusive GEP must have been implemented for at least a GEP lifecycle to be able to show progress.

For more information about the submission process (including IT aspects), consult the Online Manual.

_

The Horizon Europe GEP eligibility criterion covers four process-related requirements (publication: a formal document published on the institution's website and signed by the top management; dedicated resources: commitment of resources and expertise in gender equality to implement the plan; data collection and monitoring: sex/gender disaggregated data on personnel (and students, for the establishments concerned) and annual reporting based on indicators; training: awareness raising/training on gender equality and unconscious gender biases for staff and decision-makers) as well as five recommended thematic areas to be addressed using concrete measures and targets (work-life balance and organisational culture; gender balance in leadership and decision-making; gender equality in recruitment and career progression; integration of the gender dimension into research and teaching content; measures against gender-based violence, including sexual harassment).

A GEP lifecycle, which typically last around 3 to 5 years, contains the following phases, as highlighted in the Horizon Europe Guidance on Gender Equality Plans: An audit phase (elements of this phase should include the collection of sex-disaggregated and/or gender-disaggregated data and a review of practices to identify gender inequalities and their causes. The audit stage should also include review of relevant national and regional laws, regulations, or funding requirements); A planning phase (during this phase, an organisation will set the objectives and targets for the GEP alongside a roadmap of actions and measures. This phase also includes allocating resources and responsibilities for the delivery of the GEP and agreeing timelines for implementation); An implementation phase (in which the roadmap of activities is implemented, including, for example, setting up working groups to develop and implement new policies and procedures. This phase should include awareness raising and training efforts to achieve buy-in and build capacity and support for the GEP across the organisation, as well as give visibility internally and externally to the GEP); A monitoring and evaluation phase (in which the delivery of the plan and progress against its aims and objectives are regularly assessed. Ongoing review of findings and progress will also provide space for learning and feedback to enable adjustments and improvements to interventions).

6. Eligibility

Eligible participants (eligible countries)

In order to be eligible, the applicant must:

- be a legal entity (public or private body¹³)
- be a university, higher education institution or a research performing organisation (public or private)¹⁴.
- be established in one of the eligible countries, i.e.:
 - EU Member State (including overseas countries and territories (OCTs))
 - non-EU country associated to the Horizon Europe (<u>associated countries</u>) or country which are in ongoing negotiations for an association agreement and where the agreement applies before the award.

Only applications submitted by a single legal entity will be allowed, in accordance with the Work Programme.

Applicants that have already received an EU or Euratom prize cannot receive a second prize for the same activities.

All applicants must register in the <u>Participant Register</u> — before the call deadline — and will have to be validated by the Central Validation Service (REA Validation). For the validation, you will be requested to upload documents showing legal status and origin.

Specific cases

Entities without legal personality — Entities which do not have legal personality under their national law may exceptionally participate, provided that their representatives have the capacity to undertake legal obligations on their behalf, and offer guarantees for the protection of the EU financial interests equivalent to that offered by legal persons¹⁵.

Countries currently negotiating association agreements — Applicants from countries with ongoing negotiations (see above) may participate in the call and can receive a prize if the negotiations are concluded and the agreementapplies.

EU restrictive measures — Special rules apply for certain entities (e.g. entities subject to <u>EU restrictive measures</u> under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU) 16 and entities covered by Commission Guidelines No 2013/C 205/05 17). Such entities are not eligible to participate in any capacity.

¹³ For the legal definition, see Article 156 EU Financial Regulation 2018/1046.

https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/rules-lev-lear-fca_en.pdf

See Article 197(2)(c) EU Financial Regulation 2018/1046.

Please note that the EU Official Journal contains the official list and, in case of conflict, its content prevails over that of the EU Sanctions Map.

Commission guidelines No 2013/C 205/05 on the eligibility of Israeli entities and their activities in the territories occupied by Israel since June 1967 for grants, prizes and financial instruments funded by the EU from 2014 onwards (OJEU C 205 of 19.07.2013, pp. 9-11).

For more information, see <u>Rules for Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment</u>.

Eligible activities

Eligible activities are the ones set out in section 2 above.

Ethics and values

Activities must comply with the highest ethical standards and the applicable EU, international and national law on ethical principles.

Moreover, they must respect basic EU values (such as respect for human dignity, freedom, democracy, equality, the rule of law and human rights, including the rights of minorities).

The activities must comply with:

- ethical principles (including the highest standards of research integrity) and
- applicable international, EU and national law

and may not:

- aim at human cloning for reproductive purposes
- intend to modify the genetic heritage of human beings which could make such changes heritable (with the exception of research relating to cancer treatment of the gonads, which may be financed), or
- intend to create human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer.

Moreover, activities must have an exclusive focus on civil applications and no prize can be awarded for activities carried out outside the EU, if they are prohibited in all Member States.

Applications involving ethics issues will have to undergo an ethics review to authorise funding. Applications that did not respect the above ethical principles and standards cannot be awarded a prize.

<u>Security</u>

Applications involving EU classified information must comply with Decision $\frac{2015/444}{18}$ and its implementing rules. Applications that are too security-sensitive cannot be awarded a prize.

7. Exclusion

Applicants which are subject to an **EU exclusion decision** or in one of the following **exclusion situations** that bar them from receiving EU funding can NOT participate¹⁹:

 bankruptcy, winding up, affairs administered by the courts, arrangement with creditors, suspended business activities or other similar procedures (including procedures for persons with unlimited liability for the applicant's debts)

¹⁹ See Articles 136 and 141 EU Financial Regulation 2018/1046.

- in breach of social security or tax obligations (including if done by persons with unlimited liability for the applicant's debts)
- guilty of grave professional misconduct²⁰ (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize)
- committed fraud, corruption, links to a criminal organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize)
- shown significant deficiencies in complying with main obligations under an EU procurement contract, grant agreement, prize, expert contract, or similar (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize)
- guilty of irregularities within the meaning of Article 1(2) of Regulation No 2988/95 (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize)
- created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin or created another entity with this purpose (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize).

Applicants will also be refused if it turns out that 21 :

- during the award procedure they misrepresented information required as a condition for participating or failed to supply that information
- they were previously involved in the preparation of the call and this entails a distortion of competition that cannot be remedied otherwise (conflict of interest).

8. Evaluation and award procedure

Applications will be subject to a formal evaluation by a jury.

If there are more than 200 applications for a given prize category, there will be a **preselection** to select the best 50 to pass to jury review. Otherwise, all applications will pass directly to jury review.

The pre-selection panel and jury usually have a different composition, but jury members may participate in the pre-selection panel.

The pre-selection panel/jury will evaluate each application against the award criteria.

Professional misconduct includes: violation of ethical standards of the profession, wrongful conduct with impact on professional credibility, false declarations/misrepresentation of information, participation in a cartel or other agreement distorting competition, violation of IPR, attempting to influence decision-making processes or obtain confidential information from public authorities to gain advantage.

²¹ See Article 141(1) EU Financial Regulation 2018/1046.

For applications with the same score in each of the three prize categories, the preselection panel/jury will determine a **priority order** according to the following approach: The score for the criterion No 1 will be given a weight of 2 and the score for criterion No 2 will be given a weight of 1.5. If two or more applications still tie in any category, the prize in this category will be equally divided and awarded to all applications with the same score.

On the basis of the evaluation by the jury (and after the mandatory checks: *ethics* review, security scrutiny, legal entity validation, non-exclusion, double funding and plagiarism, etc), the awarding authority will decide on the award of the prize.

All applications will be informed about the evaluation result (**evaluation result letter**). Successful applications will be awarded the prize; the not successful ones will be rejected.

If you believe that the evaluation procedure was flawed, you can submit a **complaint** (following the deadlines and procedures set out in the evaluation result letter). Please note that notifications are deemed to have been accessed (and received) 10 days after sending and that deadlines will be counted from then (see also <u>Funding & Tenders Portal Terms and Conditions</u>).

9. Award criteria

If admissible and eligible, the applications will be evaluated and ranked against the following **award criteria** defined for each of the three prize categories.

1. Category "Sustainable Gender Equality Champions"

Organisations that can demonstrate a significant and sustained record of activity and a high level of achievement through the implementation of their GEP.

- award criterion 1: Sustainable Impact The applicant demonstrates through concrete examples a high level of achievement and impact of its GEP in creating positive and sustainable institutional change towards gender equality. The applicant must support their claims with qualitative and quantitative data including performance measures and indicators, and show the sustainable anchoring of the GEP in the organisation and long-lasting improvements.
- award criterion 2: Inspirational Model The applicant should demonstrate an influence of its GEP beyond the sole organisation, such as serving as an inspiration to other organisations e.g. through peer-mentoring, having an impact on policy at national level, at EU level.

Award criteria	Minimum pass score	Maximum score
Sustainable Impact	6.0	10.0
Inspirational Model	6.0	10.0
Overall (pass) scores	12.0	20.0

Maximum points: 20 points.

Individual thresholds: 6/10 points.

Overall threshold: 12 points.

2. Category "Newcomer Gender Equality Champions"

Organisations that have recently started implementing a GEP and can demonstrate the most progress in its implementation and achieved results.

- award criterion 1: Progress Achieved The applicant demonstrates through concrete examples the progress achieved in creating positive institutional change towards gender equality through the implementation of its GEP. The applicant must support their claims with qualitative and quantitative data including performance measures and indicators.
- award criterion 2: Stakeholder engagement The applicant should demonstrate a high level of engagement of the organisation's various internal stakeholders, including women and men from the different categories of staff (and student body for teaching institutions), in the design, implementation and assessment of its GEP.

Award criteria	Minimum pass score	Maximum score
Progress Achieved	6.0	10.0
Stakeholder engagement	6.0	10.0
Overall (pass) scores	12.0	20.0

Maximum points: 20 points.

Individual thresholds: 6/10 points.

Overall threshold: 12 points.

3. Category "Inclusive Gender Equality Champions"

Organisations that have developed the most innovative inclusive GEP addressing intersections with other social categories such as ethnicity, social origin, sexual orientation and gender identity (LGBTIQ) or disability.

- award criterion 1: Progress achieved The applicant demonstrates through concrete examples the progress achieved in creating positive institutional change towards inclusive gender equality through the implementation of its inclusive GEP. The applicant must support their claims with qualitative data, and quantitative data including performance measures and indicators, where relevant.
- award criterion 2: Innovative Inclusiveness The applicant should demonstrate the innovative aspect as its inclusive GEP measures, such as, for example, new ideas not tested elsewhere, advanced participatory approaches,

innovative partnerships, or else targeted innovative actions such as actions addressing the impact of COVID-19 on gender equality and inclusiveness, support schemes for endangered researchers, etc.

Award criteria	Minimum pass score	Maximum score
Progress Achieved	6.0	10.0
Innovative Inclusiveness	6.0	10.0
Overall (pass) scores	12.0	20.0

Maximum points: 20 points.

Individual thresholds: 6/10 points.

Overall threshold: 12 points.

In each of the three prize categories, applications must pass both the individual thresholds AND the overall threshold. Evaluation scores will be given for each of the criteria relavant for a given category. Scores will be given with a resolution of one decimal place.

In each category, the prize will be awarded to the application with the best score, and in case of equal scores, to the two or more applications with the best score, according to the priority order established (see section 8). Other applications will be rejected.

10. Other conditions

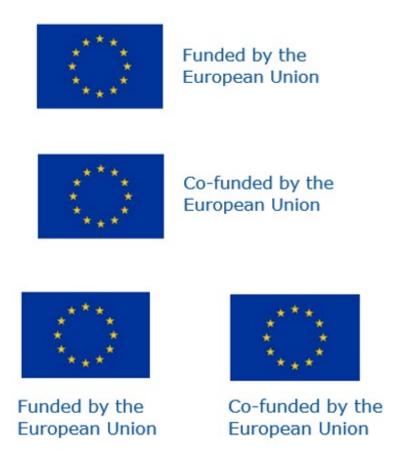
Payment arrangements

The prize money will be paid to the prize winners after the award ceremony, provided all the requested documents have been submitted.

<u>Communication — Dissemination — Visibility of funding</u>

Prize winners must promote the prize and its results, by providing targeted information to multiple audiences (including the media and the public) in a strategic and effective manner.

Communication activities related to the prize (including media interviews, press statements, presentations, etc., in electronic form, via traditional or social media, etc.), must acknowledge EU support and display the European flag (emblem) and funding statement (translated into local languages, where appropriate):



The emblem must remain distinct and separate and cannot be modified by adding other visual marks, brands or text.

Apart from the emblem, no other visual identity or logo may be used to highlight the EU support.

When displayed in association with other logos (e.g. of winners or sponsors), the emblem must be displayed at least as prominently and visibly as the other logos.

For the purposes of these obligations, the winners may use the emblem without first obtaining approval from the awarding authority. This does not, however, give them the right to exclusive use. Moreover, they may not appropriate the emblem or any similar trademark or logo, either by registration or by any other means.

Any communication or dissemination activity related to the prize must use factually accurate information.

Moreover, it must indicate the following disclaimer (translated into local languages where appropriate):

"Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union. Neither the European Union nor the awarding authority can be held responsible for them."

IPR — Rights of use

The awarding authority does not obtain ownership of the results produced in the context of the prize.

The awarding authority has the right to use non-sensitive information relating to the prize and materials and documents received from the winners (such as pictures or audiovisual material, in paper or electronic form) for information, communication, dissemination and publicity purposes.

Photos and videos taken by the awarding authority either in preparation of the award ceremony or during the award ceremony are the sole property of the awarding authority.

Checks, audits and investigations

The awarding authority, the European Research Executive Agency (REA) the European Anti-Fraud Office (OLAF), the European Public Prosecutor's Office (EPPO) and the European Court of Auditors (ECA) may carry out checks, audits and investigations in relation to the prize.²²

<u>Withdrawal of the prize — Recovery of undue amounts</u>

The awarding authority may withdraw the prize after its award and recover all payments made, if it finds out that:

- false information, fraud or corruption was used to obtain it
- the prize winners were not eligible or should have been excluded or
- the prize winners are in serious breach of their obligations under these Rules of Contest.

11. How to submit an application

All applications must be submitted directly online via the Funding & Tenders Portal Electronic Submission System. Paper applications are NOT accepted.

You can access the Portal here, or by clicking 'Apply now' on the official prize webpage.

Submission is a **2-step** process:

a) create a user account and register your organisation

To use the Submission System (the only way to apply), all participants need to <u>create an</u> EULogin user account.

For the powers of OLAF, EPPO and ECA, see Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18/09/2013, p. 1), Council Regulation (Euratom, EC) No 2185/1996 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15/11/1996, p. 2), Council Regulation (EU) 2017/1939 of 12 October 2017 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ('the EPPO') and Article 287 of the Treaty on the Functioning of the EU (TFEU) and Article 257 of EU Financial Regulation 2018/1046.

Once you have an EULogin account, you can <u>register your organisation</u> in the Participant Register. When your registration is finalised, you will receive a 9-digit participant identification code (PIC).

b) submit the application

Access the Electronic Submission System via the Topic page in the <u>Search Funding & Tenders</u> section.

Submit your application in 3 parts, as follows:

- Part A includes administrative information about the applicant organisation . Fill it in directly online
- Part B (description of the action) covers the technical content of the application.
 Download the mandatory word template from the Submission System, fill it in and upload it as a PDF file
- Annex (see section 5). Upload it as a single PDF file.

The application must keep to the **page limits** (see section 5); excess pages will be disregarded.

Documents must be uploaded to the **right category** in the Submission System otherwise the application might be considered incomplete and thus inadmissible.

The application must be submitted **before the call deadline** (see section 4). After this deadline, the system is closed and applications can no longer be submitted.

Once the application is submitted, you will receive a **confirmation e-mail** (with date and time of your application). If you do not receive this confirmation e-mail, it means your application has NOT been submitted. If you believe this is due to a fault in the Submission System, you should immediately file a complaint via the IT Helpdesk webform, explaining the circumstances and attaching a copy of the application (and, if possible, screenshots to show what happened).

Details on processes and procedures are described in the <u>Online Manual</u>. The Online Manual also contains the links to FAQs and detailed instructions regarding the Portal Electronic Exchange System.

12. Help

Additional information can be found here:

- Online Manual
- prize website (to be included when ready)
- FAQs on the Prize page (for call-specific questions in open calls; not applicable for actions by invitation)
- Portal FAQ (for general questions).

Please also consult the Topic page regularly, since we will use it to publish call updates.

Contact

For individual questions on the Portal Submission System, please contact the IT Helpdesk.

Non-IT related questions should be sent to the following email address: Research Enquiry Service.

Please indicate clearly the reference of the call and topic to which your question relates (see cover page).

13. Important



IMPORTANT

interests.

- **Don't wait until the end** Complete your application sufficiently in advance of the deadline to avoid any last minute technical problems. Problems due to last minute submissions (e.g. congestion, etc.) will be entirely at your risk. Call deadlines can NOT be extended.
- Consult the Portal Topic page regularly. We will use it to publish updates and additional information on the call (call updates).
- Funding & Tenders Portal Electronic Exchange System By submitting the application, all applicants accept to use the electronic exchange system in accordance with the Portal Terms & Conditions.
- **Registration** Before submitting the application, all applicants must be registered in the Participant Register. The participant identification code (PIC) (one per applicant) is mandatory for the Application Form.
- No double funding There is a strict prohibition of double funding from the EU budget. Applications that have already received an EU prize cannot receive a second prize for the same activities.
- **Resubmission** Applications may be changed and re-submitted until the deadline for submission. For first past the post prizes with cut-off dates, applications can be changed and re-submitted until the cut-off date; the re-submission may however let you use your position as first in place.
- **Rejection** By submitting the application, all applicants accept the call conditions set out in this these Rules of Contest (and the documents they refer to). Applications that do not comply with all the call conditions will be rejected. This applies also to applicants: All applicants need to fulfil the criteria; if any one of them doesn't, they must be replaced or the entire application will be rejected.
- Cancellation The awarding authority may cancel the contest or decide not to award the prize — without any obligation to compensate participants (e.g. no applications, jury cannot determine winner, winner is not eligible or must be excluded, objectives have already been achieved, etc). In this case, you will be informed via a call update.
- Language You can submit your application in any official EU language. However, for reasons of efficiency, we strongly advise you to use English. If you need the call documentation in another official EU language, please submit a request within 10 days after call publication (for the contact information, see section 12).
- **Transparency** In accordance with Article 38 of the <u>EU Financial Regulation</u>, information about EU prizes awarded and the winners (name, address and amount awarded) is published each year on the Europa website.

The publication can exceptionally be waived (on reasoned and duly substantiated 18 request), if there is a risk that the disclosure could jeopardise your rights and freedoms under the EU Charter of Fundamental Rights or harm your commercial

• **Data protection** — Any processing of personal data in the context of this prize will be done in accordance with Regulation 2018/1725. It will be processed solely for the purpose of evaluating your application (and subsequent management of your prize and, if needed, programme monitoring, evaluation and communication). Details are explained in the Funding & Tenders Portal Privacy Statement.

By submitting the application, all applicants accept that the awarding authority will publish information on the finalists and winners.